# GUARDANT WEALTH ADVISORS

## FORM CRS — CLIENT RELATIONSHIP SUMMARY

CRD #339708 · August 21, 2026

We are registered with the U.S. Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

### 1 What investment services and advice can you provide me?

Our firm offers investment advisory services, which are fully described in our Form ADV Part 2A (**"Disclosure Brochure"**). Our services include investment management, financial planning, consulting, family office advisory services, and retirement plan advisory services.

Financial planning and investment consulting recommendations are not actively monitored; there are no material limitations to our monitoring of the accounts we manage. We accept discretionary and/or non-discretionary authority to implement recommended transactions in client accounts. The level of discretion is set out in our agreement with you, and there are no material limitations on that authority. For non-discretionary services, you make the ultimate decision regarding the purchase or sale of investments.

We do not limit our advice to proprietary products or a limited menu of products or types of investments. We offer advice on a range of securities, including mutual funds, exchange-traded funds, individual debt and equity securities, privately placed securities (including debt, equity, and/or interests in pooled investment vehicles), and independent investment managers, in accordance with your stated investment objectives. We can also facilitate client access to private market and alternative investment opportunities — including, for eligible clients, through special purpose vehicles ("SPVs") sponsored by Guardant Capital LP, an affiliate of our firm. See *"What are your legal obligations to me…"* below for the conflicts of interest this creates.

We do not require a minimum account size or a minimum annual advisory fee to open or maintain an account. Advisory fees are negotiated individually with each client based on factors such as total assets under management, the complexity of services provided, and the overall scope of the relationship, subject to a maximum annual advisory fee of 2.00%.

Additional information about our services can be found in Items 4, 5, and 7 of our Disclosure Brochure, available to all clients or by going to adviserinfo.sec.gov.

***Let's discuss…***

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

### 2 What fees will I pay?

We offer our services on a fee basis. We charge an asset-based fee — up to a maximum annual rate of 2.00%, individually negotiated with you — for investment management and wealth management services. The fee is prorated and charged quarterly, in advance, based on the value of the assets we manage for you. If we recommend an Independent Manager for a portion of your assets, that manager charges its own fee (up to 1.75%), though the combined fee you pay to us and the Independent Manager will not exceed 2.00%.

If you invest through an affiliated SPV sponsored by Guardant Capital LP, you will pay additional fees directly to that sponsor — separate from our advisory fee — generally a one-time formation/administrative fee of up to 2.0% of subscribed capital and a carried interest of up to 20% of net profits, as described in the applicable offering documents.

In addition to our advisory fees, you will incur certain charges imposed by other third parties, such as broker-dealers, custodians, and fund managers. These include brokerage commissions, transaction fees, custodial fees, margin and other borrowing costs, fund-level fees and expenses (e.g., fund management fees, as disclosed in the fund's prospectus), deferred sales charges, transfer taxes, and wire/electronic fund fees.

The more assets there are in your advisory account, the more you will pay in fees, so we may have an incentive to encourage you to increase the assets in your account. You will pay fees and costs whether you make or lose money on your investments, and fees and costs will reduce any amount of money you make over time. Please make sure you understand what fees and costs you are paying.

Additional information about our fees can be found in Item 5 of our Disclosure Brochure, available to all clients or by going to adviserinfo.sec.gov.

***Let's discuss…***

- *Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

## 3 What are your legal obligations to me when acting as my investment adviser? How else does your firm make money, and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples:

- **Third-Party Payments:** We and our supervised persons receive compensation from third parties when we recommend insurance products to you. This creates an incentive to recommend those products, and to recommend them more frequently.
- **Support from Custodian:** We receive administrative support, computer software, and other support from the custodian we recommend. This creates an incentive to recommend that custodian because we do not have to produce or pay for similar products and services ourselves.
- **Affiliated Private Investments (SPVs):** Guardant Capital LP, an affiliate of our firm, sponsors special purpose vehicles ("SPVs") that give eligible clients access to specific private investment opportunities. Our principals have an indirect ownership interest in the entity that controls Guardant Capital LP, and therefore share indirectly in the formation fees and carried interest it earns on these SPVs. This gives us a financial incentive to recommend affiliated SPVs over unaffiliated investment opportunities.
- **Principals as Clients of Recommended Investments:** From time to time, we provide advisory services to individuals who are also founders, executives, or sponsors of private companies or funds that we may recommend to other clients. Because we earn advisory fees from these individuals, we have an incentive to recommend investment opportunities associated with them.

***Let's discuss…***

- *How might your conflicts of interest affect me, and how will you address them?*

Additional information about our conflicts of interest can be found throughout our Disclosure Brochure, available to all clients or by going to adviserinfo.sec.gov.

## 4 How do your financial professionals make money?

Our financial professionals are compensated based on the following factors, which create conflicts of interest:

- The amount of client assets they service — creating an incentive to favor clients with more assets.
- The time and complexity required to meet a client's needs — creating an incentive to spend more time on an account than is required.
- Commissions earned in their individual capacity on product sales, which are not earned as financial professionals of our firm — creating an incentive to sell certain investments, and to sell them more frequently.

Additional information about our financial professionals can be found in their respective Form ADV Part 2B Brochure Supplements, which will be provided to you.

## 5 Do you or your financial professionals have legal or disciplinary history?

Yes. Some of our financial professionals have reportable legal or disciplinary history. You can visit investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

***Let's discuss…***

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

## 6 Additional Information

You can find additional information about our services in our Disclosure Brochure, available at adviserinfo.sec.gov (search Guardant Partners LLC, CRD #339708) or at www.GuardantWealthAdvisors.com. To request a copy of this Relationship Summary, our Disclosure Brochure, or any other disclosure documents referred to in this document — or to request up-to-date information — please call us at (305) 845-7511.

***Let's discuss…***

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*